EVALUATION REPORT

**PUBLICATION REF.: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

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**Annexes:**

[Electronic submission : Appointment of Opening and Evaluation Committee from PPMT/Ares with the declarations of impartiality and confidentiality]

[Electronic submission: Record of opening of submissions generated from e-Submission and the list of tenderers’ representatives (from Annex C6)]

[Paper submission: Tender opening record and its annexes]  
Administrative compliance grid

[Correspondence regarding Declaration on Honour and supporting documents on exclusion and selection criteria from tenderers]  
Technical evaluation grids completed by the individual evaluators,   
[incl Annex III Technical offer]  
Attendance records  
[Clarification correspondence with tenderers]

**1. Timetable**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **DATE** | **TIME** | **VENUE** |
| **Preparatory session** |  |  |  |
| **Deadline for the submission of tenders** |  |  |  |
| **Tender opening session** |  |  |  |
| **< Meeting 1 >** |  |  |  |
| **< Meeting 2 >** |  |  |  |
| **Etc.** |  |  |  |

**2. Observers**

|  |  |
| --- | --- |
| **Name** | **Representing** |
|  |  |
|  |  |

**3. Evaluation**

**Preparatory session**

The chairperson informed the evaluation committee of the scope of the proposed contract, identified the organisations responsible for preparing the tender dossier, and summarised the essential features of the tender procedure to date, including the evaluation grid published as part of the tender dossier.

**Tender opening session**

The tender opening record is attached to this report. The evaluation committee only considered those tenders, which were found to be suitable for further evaluation following the tender opening session.

**3.1 Administrative compliance**

The evaluation committee used the administrative compliance grid included in the tender dossier to assess the compliance of each of the tenders with the administrative requirements of the tender dossier.

[If clarifications were requested for the submissions from any tenderers*:*

With the agreement of the other evaluation committee members, the chairperson wrote to the following tenderers whose tenders required clarification, offering them the possibility to respond by <within a reasonable time limit fixed by the evaluation committee> (all correspondence is attached in the annex indicated):

|  |  |  |  |
| --- | --- | --- | --- |
| **Tender number** | **Tenderer name** | **Lot number\*** | **Summary of exchange of correspondence** |
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The completed administrative compliance grid is attached. On the basis of this, the evaluation committee decided that the following tenders were administratively non-compliant and should not be considered further:

| **Tender number** | **Tenderer name** | **Lot number\*** | **Reason** |
| --- | --- | --- | --- |
|  |  |  | [The tenderer is in an exclusion situation.] |
|  |  |  | [The tenderer has misrepresented or failed to supply the information required.] |
|  |  |  | [The tenderer was previously involved in the preparation of procurement documents, this entailing a distortion of competition which cannot be remedied otherwise.] |
|  |  |  | [The tenderer does not meet the selection criteria.] |
|  |  |  | [<Other reason>] |

**3.2 Technical compliance**

Each evaluator on the evaluation committee used the technical evaluation grid included in the tender dossier to assess the compliance of each of the tenders with the technical requirements of the tender dossier. The completed technical evaluation grids are attached.

[If clarifications were requested from any tenderers *:*

With the agreement of the other evaluation committee members, the chairperson wrote to the following tenderers whose tenders required clarification, offering them the possibility to respond by <within a reasonable time limit fixed by the evaluation committee> (all correspondence is attached in the annex indicated):

|  |  |  |  |
| --- | --- | --- | --- |
| **Tender number** | **Tenderer name** | **Lot number\*** | **Summary of exchange of correspondence** |
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After discussing the individual conclusions of the evaluators, the evaluation committee concluded that the following tenders were technically non-compliant and should not be considered further:

| **Tender number** | **Tenderer name** | **Lot number\*** | **Reason** |
| --- | --- | --- | --- |
|  |  |  | [The tender does not comply with the minimum requirements specified in the procurement documents.] |
|  |  |  | [The tender does not meet the minimum quality levels.] |
|  |  |  |  |

**3.3 Financial evaluation**

The evaluation committee checked the technically compliant tenders for arithmetic errors.

[If any arithmetic errors were found:

As stated in the instructions to tenderers, arithmetic errors were corrected on the following basis:

* Where the total amount of the tender inserted in the e-Submission field “Total amount excl. taxes” does not correspond to the amount indicated in the uploaded financial offer, only the amount indicated in the financial offer will be taken into account.
* Where there was a discrepancy between amounts in figures and in words, the amount in words prevailed
* Where there was a discrepancy between a unit price and the total amount derived from the multiplication of the unit price and the quantity, the unit price as quoted prevailed, except where the evaluation committee agreed that there was an obvious error in the unit price, in which case the total amount as quoted prevailed
* Where unconditional discounts applied to financial offers for individual lots, the discount was applied to the financial offer

The following arithmetic corrections were made:

| **Tender number** | **Tenderer name** | **Lot number\*** | **Stated financial offer [EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** | **Arithmetically corrected financial offer [EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** |
| --- | --- | --- | --- | --- |
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The arithmetically corrected financial offers were compared [for each lot] to identify the technically compliant tender with the lowest price [for that lot].]

[If a tender appears to have an abnormally low price in relation to the market for the supplies in question*:*

The tender submitted by <tenderer name> appeared to have an abnormally low price in relation to the market for the supplies in question. Consequently, the chairperson of the evaluation committee wrote to <tenderer name> to obtain a detailed explanation for the low price proposed.

On the basis of the response of the tenderer, the evaluation committee decided to

EITHER [accept the tender because

[the tenderer used an economic production method]

[of the nature of the technical solution used]

[the financial offer reflected exceptionally favourable conditions available to the tenderer.]]

OR [reject the tender as the abnormally low price could not be justified on objective grounds.]

[For each lot] The ranking of the tenders which were not excluded during the evaluation was as follows, in order of the arithmetically corrected financial offers:

| **Tender number** | **Tenderer name** | **[Lot number]\*** | **Financial offer** [after arithmetical correction] **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** | **Ranking** |
| --- | --- | --- | --- | --- |
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[If discounts are offered: Application of discounts:

| **[Lot number\*]** | **Tender number** | **Tenderer name** | **Financial offer** [after arithmetical correction] **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** | **Discount applicable**  **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** |
| --- | --- | --- | --- | --- |
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**\*** Delete column if there are no lots.]

EDF only: If preferential rules are to be applied:

[Preferences: for supply contracts of a value of less than EUR 300 000, tenderers of the ACP states, either individually or in a consortium with European partners, shall be accorded a 15% price preference during the financial evaluation.

Moreover, where two tenders are acknowledged to be equivalent, preference shall be given:

(a) to the tenderer of an ACP State; or

(b) if no such tender is forthcoming, to the tenderer who:

* allows for the best possible use of the physical and human resources of the ACP States,
* offers the greatest subcontracting possibilities to ACP companies, firms or natural persons, or
* is a consortium of natural persons, companies and firms from ACP States and the European Union.

The application of these rules concluded the following results:

| **[Lot number\*]** | **Tender number** | **Tenderer name** | **Financial offer** [after arithmetical correction] **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** | **Financial offer after applying preferential rules**  **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** |
| --- | --- | --- | --- | --- |
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[Only very exceptionally, subject to prior approval, if the best price-quality ratio criterion applies, add the following paragraph:

**Financial scoring**

The evaluation committee compared the financial offers to calculate their financial scores:

| **[Lot number]\*** | **Tender number** | **Tenderer name** | **Financial offer [EUR] [<ISO code of national currency>** only for indirect managementin the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** | **Financial score** |
| --- | --- | --- | --- | --- |
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**3.4 Most economically advantageous tender**

[Either: The most economically advantageous tender is the technically compliant tender with the lowest price.]

[Or, where exceptionally the best price-quality ratio criterion applies (subject to prior approval):

The most economically advantageous tender is the technically compliant tender with the best price-quality ratio. The best price-quality ratio is established by weighting technical quality against price on a basis to be determined on a case by case basis:

| **Tender number** | **Tenderer name** | **Overall score** (technical score x 0.\*\*0 + financial score x 0.\*\*0) | **Final ranking** |
| --- | --- | --- | --- |
|  |  |  |  |
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**4. Conclusion**

**Correspondence regarding the Declaration on Honour criteria and documentary evidence for exclusion and selection criteria**

[The requirement to submit an **original** Declaration on Honour on exclusion criteria and selection criteria is only **applicable** in case of paper submission.]

[Email below is not to be used for the simplified procedure **if** the original Declaration on Honour and documentary evidence on exclusion and selection were submitted with the tender (i.e. they were deemed necessary and requested in the Instructions to Tenderers)]

[Email below is not to be used in case of a local open procedure **if** the original Declaration on Honour and documentary evidence on exclusion and selection were submitted with the tender (i.e. they were deemed necessary and requested in the Instructions to Tenderers)]

An email requesting the original Declaration on Honour on exclusion criteria and selection criteria, if applicable, and copies of documentary evidence for exclusion and selection criteria for the tender with the highest overall scores was sent on <specify the date>. [The text of the email to the tenderer offering the cheapest technically compliant tender must at least contain the following information:

Please send to <address of the Contracting Authority> or/and <email address of the Contracting Authority>.

1. The Declaration(s) on honour on exclusion criteria and selection criteria.

[Paper submission:

Please submit the original Declaration(s) on honour, a copy of which has been submitted by you with the tender form. The original signed Declaration(s) on honour should be submitted for every member of the consortium and any capacity providing entity or subcontractor (if applicable). Please use a reliable courier service or registered mail to avoid any delays or loss of the documents. The envelope, clearly mentioning the reference of the call for tenders and, if applicable, the e-Submission ID of the tender must be marked as "CALL FOR TENDERS – NOT TO BE OPENED BY THE INTERNAL MAIL DEPARTMENT".

If you use the Qualified Electronic Signature (QES) for the signing of documents, please sign the Declaration(s) on honour on exclusion and selection criteria with QES and send by email.]

[Electronic submission: Tenderers must keep the originals of the Declaration on Honour for control purposes and must provide them to the contracting authority upon request.]

[**Documentary evidence on** **exclusion criteria** is requestedfor all tender procedures above EUR  300 000. For tender procedures below EUR 300 000, the contracting authority may, if it has doubts about whether the tenderer to whom the contract is to be awarded is in one of the situations leading to exclusion, require the tenderer to provide the evidence on exclusion criteria

2. Documentary evidence for the exclusion criteria. Please send by email or by courier service or registered mail[[1]](#footnote-1) the documentary evidence demonstrating that you do not fall into any of the exclusion situations listed in Section 2.6.10.1.1. of the Practical Guide. Examples of the admissible supporting documents are provided in Section 2.6.10.1.3. of the Practical Guide. The admissible proof or statement should be under the law of the country in which you (including all consortium members, as well as subcontractors and capacity providing entities, if applicable) are established in accordance with the undertaking in the declaration(s) which was included in your tender. The date on the evidence or documents provided must be no earlier than 1 year before the date of submission of the tender. [You] [Your firm] [each consortium member] must, in addition, provide a statement that the situation has not been altered in the period that has elapsed since the evidence in question was drawn up.

If the nature of your entity is such that it cannot fall into the exclusion situations and/or cannot provide the documents indicated above (for instance, national public administrations and international organisations), please provide a declaration explaining this situation.

The contracting authority may waive the obligation of any tenderer to submit the documentary evidence referred to above if such evidence has already been submitted for the purposes of another procurement procedure, provided that the issue date of the documents does not exceed one year and that they are still valid. In this case, please declare that the documentary evidence has already been provided in a previous procurement procedure, indicating its title and reference number, and provide confirmation that the situation has not changed.]

[**Documentary evidence** **on** **selection criteria** is requested for all tender procedures above EUR 300 000. For tender procedures below EUR 300 000: The contracting authority may, depending on its assessment of the risks, decide not to require proof for selection criteria, but then no pre-financing must be made, see Section 2.6.11. of the practical guide.

3. Documentary evidence for selection criteria.  The documentary evidence of the financial and economic capacity and the technical and professional capacity according to the selection criteria specified in the additional information about the contract notice (A5f) has to be provided by email or by courier service or registered mail1.

Where the documentary evidence submitted is in an official language of the European Union other than the one of the procedure, it is strongly recommended to provide a translation into the language of the procedure, in order to facilitate the evaluation of the documents. Although copies of the documentary evidence can be submitted at this stage, the originals must be available to send to the contracting authority upon request.

We would be grateful to receive the requested documents at the latest by <date>.

[Only for procedures where tenders were submitted via electronic submission (open/ negotiated): In the course of the procedure the EU Validation Services of REA may contact you and all consortium members via the Participant Register and ask for supporting documents with respect to the legal existence, status and financial data of your organisation (PIC validation). Please note that a request for supporting documents in no way implies that the tenderer has been successful. All communications with the EU Validation Services will take place through the F&T portal.]

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The original (if applicable) signed declaration(s) on honour on exclusion and selection criteria for the tender with the highest overall scores, including the declarations of every member of the consortium and any capacity providing entity (if applicable), and documentary evidence on compliance with exclusion and selection criteria were submitted on <specify the date>.

[If further clarifications on documentary evidence were requested from the tenderer:

With the agreement of the other evaluation committee members, the chairperson wrote to the tenderer offering them the possibility to respond by fax or email within a reasonable time limit fixed by the evaluation committee (all correspondence is attached in the annex indicated):

|  |  |  |
| --- | --- | --- |
| **Tender number** | **Tenderer name** | **Summary of exchange of correspondence** |
|  |  |  |

]

The evaluation committee verified the documentary evidence for exclusion and selection criteria for the tenderer offering the most economically advantageous tender and the documents were found [admissible] [not admissible].

If the documentary evidence is not found admissible the evaluation committee will proceed as stated above with the second best technically and financially acceptable tender, using the email text template provided above to request the original (if applicable) Declaration on honour and the documentary evidence. Upon receipt and verification of all requested documents, the evaluation committee may recommend awarding the contract to the second best tenderer.

The evaluation committee has ensured that the recommended tenderer or the members in the consortium are not in a situation of exclusion in the early detection and exclusion system. [In indirect management if the contracting authority does not have access to the early detection and exclusion system this has to be verified with the representative of the European Commission.]

The evaluation committee has ensured that there is no detection of a recommended tenderer or members in the consortium in the lists of EU restrictive measures[[2]](#footnote-2).

[Electronic submission The evaluation committee requested the PIC validation[[3]](#footnote-3) of the tenderer offering the cheapest technically compliant tender (lead of the consortium and all consortium members).]

Consequently, the evaluation committee recommends that the contract[s] [is] [are] awarded as follows:

| **[Lot number\*]** | **Tender No** | **Tenderer name** | **Financial offer** (after arithmetical correction and discounts) **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** | **[Spare parts and/or consumables]**  **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** | **Contract value**  **[EUR] [<ISO code of national currency>** only for indirect management in the following cases: (i) when legal or local constraints exceptionally impose using the national currency; (ii) when needed, for contracts within the imprest component of a programme estimate**]** |
| --- | --- | --- | --- | --- | --- |
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**\*** Delete column if there are no lots.

**5. Signatures**

|  |  |  |
| --- | --- | --- |
|  | **Name** | **Signature** |
| **Chairperson** |  |  |
| **Secretary** |  |  |
| **Evaluators** |  |  |
|  |  |  |
|  |  |  |

[For simplified procedure where only one tender was received the following must be inserted and the award decision template is not to be used:

**Awarded to the tenderer recommended by the Evaluation committee:**

**Name & signature: Date: ]**

|  |  |
| --- | --- |
| **[Approved by the European Commission [only in the case of ex-ante control by the European Commission]** | |
| Name: |  | |
| Title: |  | |
| Signature: |  | |
| Date: **]** |  | |

1. Please use a reliable courier service or registered mail to avoid any delays or loss of the documents. The envelope, clearly mentioning the reference of the call for tenders and, if applicable, the e-Submission ID of the tender must be marked as "CALL FOR TENDERS – NOT TO BE OPENED BY THE INTERNAL MAIL DEPARTMENT". [↑](#footnote-ref-1)
2. The updated lists of sanctions are available at [www.sanctionsmap.eu](http://www.sanctionsmap.eu). Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website it is the OJ version that prevails. [↑](#footnote-ref-2)
3. For further guidance on the procedure to request the PIC validation, please consult RELEX internal Wiki [↑](#footnote-ref-3)